



Debt Management Department

Qualification No. 10066953-16-A
RFSQ Investment Banking Services – Underwriter Pool
Pool Term: February 2016 – January 2021

Firms currently in the pool as of December 11, 2018:

Senior Manager

Barclays Capital
Citigroup Public Finance
Goldman, Sachs, & Co.
Hilltop Securities
Jefferies LLC
JP Morgan Securities LLC
Loop Capital Markets
Merrill Lynch Pierce Fenner & Smith Inc.
Morgan Stanley & Co.
MUFG Securities Americas Inc.
Piper Jaffrey
Ramirez & Co.
Raymond James & Associates, Inc.
RBC Capital Markets, LLC
Siebert Brandford Shank & Co., LLC
Stifel, Nicolaus & Co., Inc.
UBS Financial Services Inc.
US Bancorp
Wells Fargo Bank

Co-Manager Only

280 Securities
Academy Securities, Inc.
Alamo Capital
Backstrom McCarley Berry & Co.
Blaylock Beal Van, LLC
Cabrera Capital Markets
Fidelity Capital Markets
Hutchinson, Shockey, Erley & Co.
Janney Montgomery Scott LLC
Key Banc Capital Markets
Mesirow Financial, Inc.
Rice Financial Products Company
Stern Brothers & Co
Tribal Capital Markets, LLC

For questions regarding the City's Investment Banking Services Underwriter Pool, please contact Taif Tozy at (619) 533-4517 or ttozy@sanidiego.gov